

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT		1. CONTRACT ID CODE	PAGE OF PAGES 1 20
2. AMENDMENT/MODIFICATION NO. 0387	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO.	5. PROJECT NO. (if applicable)
6. ISSUED BY Savannah River Operations U.S. Department of Energy Savannah River Operations P.O. Box A Aiken SC 29802	CODE 00901	7. ADMINISTERED BY (if other than Item 6)	CODE
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) SAVANNAH RIVER REMEDIATION LLC Attn: Jeffrey J. Bair Savannah River Site Building 766-H Aiken SC 29808		(x) 9A. AMENDMENT OF SOLICITATION NO.	9B. DATED (SEE ITEM 11)
CODE 808376193	FACILITY CODE	x 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-AC09-09SR22505	10B. DATED (SEE ITEM 13) 12/08/2008

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended. is not extended.
Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter, provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (if required)

No change in accounting and appropriation data

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: Clause I.95 FAR 52.243-2 Changes-Cost Reimbursement (AUG 1987) Alt II (APR 1984) and Alt III (APR 984)
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor is not. is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

See Pages 2-20.

FOB: Destination

Except as provided herein, all terms and conditions of the document referenced in Item 9 A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Mark A. Schmitz Project Manager SRR Project Director SRR (Acting)	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Charlene Smith
15B. CONTRACTOR/OFFEROR Mark A. Schmitz (Signature of person authorized to sign)	15C. DATE SIGNED 3/2/2016
16B. UNITED STATES OF AMERICA  (Signature of Contracting Officer)	16C. DATE SIGNED 3/2/16

SF30 Block 14

- A. The purpose of this modification is to definitize Modification 358.
- B. The total estimated cost of CLIN 0001, Six Year Basic Term of the Contract, is hereby decreased by \$143,181,819.00, from \$2,967,670,098.95 to \$2,824,488,279.95, for the deleted activities listed in Modification 358.
- C. The total estimated cost of CLIN 0002, Option 1 – 2-Year Option Period, is hereby increased by \$92,169,213.00, from \$797,897,816.70 to \$890,067,029.70 for the additional activities described in Modification 358, and adjusted during negotiation and is listed below:
- Tank 26 - Complete Installation for Waste Removal Preparations
 - Tank 35 Closure - Complete Design, Engineered Equipment Procurements, and Disassembly & Removal (D&R), and Initiate Bulk Material Procurements
 - Tank 12 Closure - Complete Tank Grouting and Regulatory Close-Out
 - Tank Closure Cesium Removal (TCCR) - Prepare Statement of Work for the Subcontract, Prepare and Issue Request for Proposals (RFP)/Expression of Interest (EOI), and Evaluate Vendor Proposals
 - Large Tank Strike
 - Alternate Reductant
 - Enhanced Low Activity Waste Disposition (ELAWD) Phase II - Dry Feeds & Balance of Plant (BOP) - Procure Engineered Equipment (COPCO Air Compressor & Dry Feeds Mod), and Design Installation
 - SDU7 - CD-0/Conceptual Design/CD-1 and Design (TEC)
 - Interim Canister Storage - Double Stack (ICS-DS) - Complete Design and Modify 300 Canister Positions
 - SWPF General Support and Titanium Dioxide (TiO₂) Testing
 - SDI East-West Transfer Line and Piping for MCU Continued Operations (Final Installation and Tie-Ins not included)
 - SDI Blend & Feed Tanks (Waste Tank 49H, Tank 21H) and Tank 49 Piping for MCU Continued Operations (Final Installation and Tie-Ins not included)
 - SDI DWPF Modifications (Final Installation and Tie-Ins not included)
 - Lab Waste Handling - Complete Equipment Subcontract Design
 - Proposal Preparation, including Program Support and Legacy Pension Allocations
 - Section H.50 (b) Performance Requirements Revisions
 - Additional Clauses/Requirements:
 - o H.62 - "Cost Reporting for the Environmental Cost Analysis System (ECAS)"
 - o Section J - Appendix R - "Data Requirements for the Environmental Cost Analysis System (ECAS)"
- D. As a result of the deleted and added activities specified in Modification 358 and listed above, the net decrease in the Option 1 total available award fee is \$2,910,872.00, changing it from \$51,971,205.00 to \$49,060,333.00.

The net decrease in the total available award fee is calculated as follows:

Fee for Deleted Activities	(\$8,076,685.00)
Fee for Added Activities	<u>\$5,165,813.00</u>
Net Decrease	(\$2,910,872.00)

- E. CLIN 0007, Residual Activities, is hereby added to the contract. This CLIN includes activities for which there is insufficient funding and accordingly are not authorized until funding is available. These activities include the following:

Item No.	Title	Estimated Cost	Available Fee
0007A	Salt Disposition Integration (SDI) East-West Transfer Line - Final Installation and Tie-Ins	\$2,730,721	\$165,301
0007B	SDI Blend & Feed Tanks (Waste Tank 49) - Final Installation and Tie-Ins	\$3,448,642	\$208,759
0007C	SDI DWPF Modifications - Final Installation and Tie-Ins	\$2,805,117	\$169,804
0007D	Melter 3 Storage Box	\$1,621,724	\$98,169
0007E	Melter 4 Assembly	\$5,444,530	\$329,578
0007F	DWPF Dry Frit	\$9,186,834	\$556,113
0007G	Tank 35 - Install Ventilation, Electrical Equipment Skid (EES) & Electrical Substation Skid (ESS), Complete Transfer Pump Testing and Continue Bulk Material Procurements	\$6,589,830	\$398,907
0007H	Tank 14 Closure - Complete Bulk Waste Removal (BWR) Installation Design, and Initiate BWR Bulk Material Procurements and Installation	\$20,006,244	\$1,211,052
0007I	SEFT to SME Modifications	\$897,575	\$54,334
0007J	Lab Waste Handling - Complete Title II Design, Procure Equipment, and Complete Installation, Start up and Acceptance	\$5,607,158	\$339,422
0007K	Enhanced Low Activity Waste Disposition (ELAWD) Phase II - Dry Feeds and Balance of Plant (BOP) - Procure Bulk Materials, and Complete Installation, Start up and Acceptance	\$8,772,089	\$531,007
0007L	Tank 9 Closure - Salt Dissolution Design, Installation & Execution, and Heel Removal Design	\$10,344,134	\$626,169
0007M	Additional Clauses/Requirements: o H.63 - "Integrated Work Control Systems and Reporting Requirements (IWCS)" o Section J - Appendix S - "Integrated Work Control Systems and Reporting Requirements (IWCS)"	\$1,111,219	\$67,265
Total		\$78,565,817	\$4,755,880

- F. The work described in this modification shall be performed using funds obligated under CLIN 0002, CLIN 0006 and CLIN 0007 in accordance with FAR 52.232-22 Limitation of Funds.
- G. Section H.50(b), Performance Requirements and Commitments, is deleted in its entirety and is replaced by Section H.50(b) shown in Attachment 1 hereto.
- H. Part III List of Documents, Exhibits, and Other Attachments, Section J - List of Attachments, Appendix A – Small Business Subcontracting Plan, is hereby deleted in its entirety and is replaced by the Small Business Subcontracting Plan shown in Attachment 2 hereto.
- I. In consideration of the modification agreed to herein as complete equitable adjustments for SRR Proposal No. CAA-CP-2015-002, the Contractor hereby releases the Government from any and all liability under this contract for further equitable adjustments attributable to such facts or circumstances giving rise to SRR Proposal No. CAA-CP-2015-002.
- J. All other terms and conditions remain unchanged.

ATTACHMENT 1

Contract Clause H.50 Performance Requirements and Commitments

Performance Requirements	Original H.50 Commitments	Revision of H.50
1. Operationally close old-style tanks		
a. Operationally close 15 old-style tanks (independent of SWPF processing)	a. By the end of Basic Term	a. 4 old-style tanks closed by end of Basic Term.
b. Operationally close 22 old-style tanks	b. By end of Option 1 (if exercised)	b. 6 old-style tanks closed by end of Option 1.
	c. By the end of FY11	Complete
2. Implement Enhanced Chemical Cleaning for liquid waste tank cleaning	By end of January 2011	Delete – ECC startup will occur outside of contract due to reduced funding
3. Consolidate all remaining F Area sludge waste into Tank 26	By end of Option 1 (if exercised)	Delete. Remaining F-Area Sludge will not be consolidated in Tank 26 (relative to SWPF start)
4. Produce DWPF Canisters		
a. Produce 1,945 canisters at DWPF	a. By the end of Basic Term	a. Produce 1,228 canisters by the end of the Basic Term.
b. Produce 2,715 canisters at DWPF	b. By end of Option 1 (if exercised)	b. Produce 1,507 canisters by the end of Option 1.
5. Improve DWPF Canister Waste Loading		
a. Achieve and sustain 36 weight percent	a. Beginning with Sludge Batch 6	a. Status: Complete.
b. Achieve and sustain 40 weight percent	b. By end of FY 2011	b. Delete – Installation of DWPF enhancements aligns with SWPF tie-ins which will occur after the completion of Option 1
6. Reduce DWPF recycle stream generation	Reduce by 1.25 million gallons per year	Delete – Installation of DWPF enhancements aligns with SWPF tie-ins which will occur after the completion of Option 1
7. Improve ARP/MCU operations		
a. Improve throughput rate	a. Achieve 4 gallons per minute throughput rate	Status: Complete.
b. Increase Cs-137 decontamination factor (DF)	b. Achieve average DF of 200	Status: Complete.
8. Enable batch transfers of LLW from ETF directly to Saltstone	Complete LW facility modifications to enable first batch transfers of LLW from ETF directly to Saltstone by FY 2012	Status: Complete
9. De-couple and protect SWPF from being shut down by a:		

Performance Requirements	Original H.50 Commitments	Revision of H.50
a. DWPF outage	a. Provide at least 21 days of surge capacity for SWPF strip effluent prior to SWPF startup	a. Delete. No longer required based on contract directed change (CMD-10-150).
b. Saltstone Facility outage	b. Provide at least 45 days of Decontaminated Salt Solution lag storage prior to SWPF startup	b. Status: Complete.

ATTACHMENT 2

SECTION J - LIST OF ATTACHMENTS

APPENDIX A - SMALL BUSINESS SUBCONTRACTING PLAN (REVISED)

Contractor Name: Savannah River Remediation LLC (SRR)
Contractor Address: Savannah River Site, Building 766-H
City/State/Zip: Aiken, South Carolina 29801-3852
Point of Contact: Mark A. Schmitz
POC Phone (803) 208-1248
POC E-mail: mark.schmitz@srs.gov
Contract Number: DE-AC09-09SR22505
Item/Service: Liquid Waste (LW) Program at the Savannah River Site
Total Amount of Contract (Including Options): \$4.1B
Period of Contract Performance (Mo., Day & Year):
Base Contract Period – July 1, 2009, through June 30, 2015
Option Period 1 – July 1, 2015, through June 30, 2017

1. Type of Plan (check one)

Individual Contract Plan-Individual contract Plan, as Used in this subpart, means a subcontract plan that covers the entire contract period (including option periods), applies to a specific contract, and has goals that are based on the offeror's planned subcontracting in support of the specific contract, except that indirect costs incurred for common or joint purpose may be allocated on a prorated basis to the contract.

Master Plan-Master Plan, as used in this subpart, means a subcontracting plan that contains all of the required elements of the individual plan, except goals, and may be incorporated into individual contract plans, provided the master plan has been approved.

Commercial Products Plan-Commercial Plan, as used in subpart, means a subcontracting plan that covers the offeror's fiscal year and that applies to the entire production of commercial items sold by either the entire company or a portion thereof (e.g., division plant, or product line). The contractor must provide a copy of the approved plan. NOTE: A commercial plan is the preferred type of subcontracting plan for contractors furnishing commercial/items.

2. Goals

SRR is committed to the involvement of small business concerns, small disadvantaged business concerns, women-owned business concerns, veteran-owned small businesses, service-disabled veteran-owned small businesses, historically black colleges and universities or minority institutions and HUB Zone small business concerns as subcontractors performing meaningful LW contract scope. We support the small business objectives of the Government and DOE, advocating that diversity in subcontracting provides a vital link to the local community, strengthens the economy, and represents best business practices. In keeping with this commitment, SRR has established separate dollar and percentage subcontracting goals for the

basic contract and each option, as specified in FAR 19.704.

Base Contract Period

A. Total to be Subcontracted

Total dollars planned to be subcontracted (excluding ONLY purchases from foreign sources and company affiliates): \$468,615,872.

B. Goals

Base Contract Period

Total dollars and percent of subcontracting planned to be subcontracted with small businesses (including small disadvantaged businesses, women-owned small businesses, veteran-owned small businesses, service-disabled veteran-owned small businesses, historically black colleges and universities or minority institutions, and HUB Zone small businesses): (% of "A")

\$220,249,460 and 47%

- (1) Total dollar value and percent of subcontracting planned with small disadvantaged businesses: (% of "A"):

\$51,547,746 and 11%

- (2) Total dollar value and percent of subcontracting planned with women-owned small businesses: (% of "A"):

\$37,489,270 and 8%

- (3) Total dollar value and percent of subcontracting planned with veteran-owned small businesses: (% of "A"):

\$23,430,794 and 5%

- (4) Total estimated dollar value and percent of planned subcontracting to service-disabled veteran-owned small businesses: (% of "A"):

\$14,058,476 and 3%

- (5) Total estimated dollar value and percent of subcontracting planned with HUB Zone small businesses (% of "A"):

\$9,372,317 and 2%

- (6) Total estimated dollar value and percent of subcontracting planned with historically black colleges and universities or minority institutions (% of "A"):

\$2,343,079 and 0.5%

Express the above dollars and percentages in the table below:

Small Business Category	Percent of Subcontracting Dollars (%)	Dollar Amount
Small Businesses	47%	\$220,249,460
Small Disadvantaged Businesses	11%	\$51,547,746
Women-owned Businesses	8%	\$37,489,270
Veteran-owned Small Businesses	5%	\$23,430,794
Service-Disabled Veteran-owned Small Businesses	3%	\$14,058,476
HUB Zone Small Businesses	2%	\$9,372,317
Historically Black Colleges and Universities or Minority Institutions	0.5%	\$2,343,079
Other Than Small Businesses	53%	\$248,366,412

Option 1 Contract Period

A. Total to be Subcontracted

Total dollars planned to be subcontracted (excluding ONLY purchases from foreign sources and company affiliates): \$103,000,000.

B. Goals

Total dollars and percent of subcontracting planned to be subcontracted with small businesses (including small disadvantaged businesses, women-owned small businesses, veteran-owned small businesses, service-disabled veteran-owned small businesses, historically black colleges and universities or minority institutions, and HUB Zone small businesses): (% of "A")

\$51,500,000 and 50%

- (1) Total dollar value and percent of subcontracting planned with small disadvantaged businesses: (% of "A"):

\$12,360,000 and 12%

- (2) Total dollar value and percent of subcontracting planned with women-owned small businesses: (% of "A"):

\$15,450,000 and 15%

- (3) Total dollar value and percent of subcontracting planned with veteran-owned small businesses: (% of "A"):

\$6,180,000 and 6%
- (4) Total estimated dollar value and percent of planned subcontracting to service-disabled veteran-owned small businesses: (% of "A"):

\$3,090,000 and 3%
- (5) Total estimated dollar value and percent of subcontracting planned with HUB Zone small businesses (% of "A"):

\$2,060,000 and 2%
- (6) Total estimated dollar value and percent of subcontracting planned with historically black colleges and universities or minority institutions (% of "A"):

\$0 and 0.0%
- (7) Total estimated dollar value and percent of subcontracting planned with local area commitment (% of "A"):

\$25,750,000 and 25%

Express the above dollars and percentages in the table below:

Small Business Category	Percent of Subcontracting Dollars (%)	Dollar Amount
Small Businesses	50%	\$51,500,000
Small Disadvantaged Businesses	12%	\$12,360,000
Women-owned Businesses	15%	\$15,450,000
Veteran-owned Small Businesses	6%	\$6,180,000
Service-Disabled Veteran-owned Small Businesses	3%	\$3,090,000
HUB Zone Small Businesses	2%	\$2,060,000
Historically Black Colleges and Universities or Minority Institutions	0%	\$0
Local Area Commitment	25%	\$25,750,000
Other Than Small Businesses	50%	\$51,500,000

Base and Option 1 Contract Periods (Hybrid)

A. Total to be Subcontracted

Total dollars planned to be subcontracted (excluding ONLY purchases from foreign sources and company affiliates): \$571,615,872.

B. Goals

Total dollars and percent of subcontracting planned to be subcontracted with small businesses (including small disadvantaged businesses, women-owned small businesses, veteran-owned small businesses, service-disabled veteran-owned small businesses, historically black colleges and universities or minority institutions, and HUB Zone small businesses): (% of "A")

\$271,749,460 and 47.5%

- (1) Total dollar value and percent of subcontracting planned with small disadvantaged businesses: (% of "A"):

\$63,907,746 and 11.2%

- (2) Total dollar value and percent of subcontracting planned with women-owned small businesses: (% of "A"):

\$52,939,270 and 9.3%

- (3) Total dollar value and percent of subcontracting planned with veteran-owned small businesses: (% of "A"):

\$29,610,794 and 5.2%

- (4) Total estimated dollar value and percent of planned subcontracting to service-disabled veteran-owned small businesses: (% of "A"):e

\$17,148,476 and 3.0%

- (5) Total estimated dollar value and percent of subcontracting planned with HUB Zone small businesses (% of "A"):

\$11,432,317 and 2.0%

- (6) Total estimated dollar value and percent of subcontracting planned with historically black colleges and universities or minority institutions (% of "A"):

\$2,343,079 and 0.4%

- (7) Total estimated dollar value and percent of subcontracting planned with local area commitment (% of "A"):

\$25,750,000 and 4.5%

Express the above dollars and percentages in the table below:

Category	Revised								
	Base			Option			Hybrid (Base and Option) *		
	Procurement Base \$	Goal %	Commitment \$	Procurement Base \$	Goal %	Commitment \$	Procurement Base \$	Goal %	Commitment \$
Procurement Base	\$468,615,872			\$103,000,000			\$571,615,872		
Small Business		47.0%	\$220,249,460		50.0%	\$51,500,000		47.5%	\$271,749,460
Small Disadvantaged Business		11.0%	\$51,547,746		12.0%	\$12,360,000		11.2%	\$63,907,746
Small Women Owned		8.0%	\$37,489,270		15.0%	\$15,450,000		9.3%	\$52,939,270
HubZone		2.0%	\$9,372,317		2.0%	\$2,060,000		2.0%	\$11,432,317
Local Area Commitment					25.0%	\$25,750,000		4.5%	\$25,750,000
Veteran Owned Small Business		5.0%	\$23,430,794		6.0%	\$6,180,000		5.2%	\$29,610,794
Service Disabled Veteran Owned		3.0%	\$14,058,476		3.0%	\$3,090,000		3.0%	\$17,148,476
HBCU & Minority Institutions		0.5%	\$2,343,079		0.0%	\$0		0.4%	\$2,343,079
Other Than Small Businesses		53.0%	\$248,366,412		50.0%	\$51,500,000		52.5%	\$299,866,412

* Hybrid calculation based upon "eSRS Quick Reference for Federal Government Prime Contractors filing ISR". The Hybrid "Goal %" is a weighted average based on the "Commitment \$" amounts, namely, the "Procurement Base \$" amounts for the Base and Option periods are added together to obtain the Hybrid "Procurement Base \$" amount; then the "Commitment \$" amounts are added to obtain Hybrid "Commitment \$" amounts; and finally, the Hybrid "Goal %" are calculated by dividing the Hybrid "Commitment \$" amounts by the Hybrid "Procurement Base \$" amount.

Provide a description of the principle types of supplies and services, in the table below, that are to be subcontracted and the identification of types of subcontracting planned for small businesses, small disadvantaged businesses, women-owned small businesses, veteran-owned

small businesses, service-disabled veteran-owned small businesses, historically black colleges and universities or minority institutions, and HUBZone small businesses.

Subcontracted Supplies and Services (Type & NAICS code)	SB	SDB	WOSB	VOSB	SDVOSB	HBCU/MI	HUBZone
Waste Tank Grouting 562910, 236210	X	X	X	X	X		
Staff Augmentation Services 541330, 561210, 561320	X	X	X		X		X
Management and Environmental Consulting Services 541330, 541618	X	X	X	X	X	X	X
Waste Determination Services, Environmental Consulting 541620, 541690	X	X	X			X	
Tank Closure Support Services 562910, 541620, 541690	X	X	X	X	X		
Engineering, Design, and Construction Services 236210, 541330	X	X	X	X	X		X
Supply of Various Materials and Equipment 333298, 423610, 423690, 423830, 423840, 424690	X	X	X	X	X		X

3. Method for Development of Goals

We conducted a management review of the SRS LW contract scope and SB marketplace to establish aggressive subcontracting goals. We:

- Evaluated opportunities for SB participation across the LW scope
- Incorporated suggestions from proposed SRR project execution managers regarding future subcontracting opportunities
- Surveyed the local and regional SB/SDB community and cataloged company capabilities
- Attended the DOE Small Business Conference to solicit interest from SB/SDB firms
- Benchmarked SRR parent company SB subcontracting performance at DOE sites nationwide, including SRS
- Reviewed the SB databases of parent companies to expand our list of experienced, qualified SBs/SDBs to be considered for future subcontracting opportunities
- Interviewed numerous SB/SDB firms with interest and capabilities to perform portions of the LW scope of work

To establish our subcontracting goals and commitments, we considered the work to be performed and adjusted for DOE directed funding for Tank 48. Not knowing the specifics of this procurement effort or the expected outcome, we did not include the funding in our procurement volume. After award of the LW contract and the assignment of the Tank 48 subcontract(s), we are willing to discuss impacts to the proposed goals and adjust accordingly. Given the above information, we forecast an adjusted procurement volume of \$468,615,872 for the base contract period and \$103,000,000 for the Option 1 performance period.

We did not establish goals for Option 2 – Operation of the Salt Waste Processing Facility because it is DOE-directed funding with limited information. If Option 2 is exercised, we are willing to discuss impacts to the proposed goals and adjust accordingly.

SRR intends to conduct set-asides under the small business program, mentor-protégé program, 8(a) program, with HUBZone firms and with SDVOSB under Section 308 of the Veteran Benefit Act of 2003, where feasible and appropriate, to facilitate participation by small business. We considered the following in establishing our goals:

- Similarities of new project work to work performed and work subcontracted in recent years at SRS
- Suggestions from the proposed SRR line managers for future subcontract opportunities
- Planned participation of SB firms used in the past at SRS
- Planned participation of our protégé companies
- Local, state and regional SB/SDB community resources
- Regional minority purchasing councils' listings for SDB entities.
- Veteran services organizations
- U.S. Small Business Administration PRO-Net database
- SB databases of all SRR parent companies for identifying lists of experienced and qualified SB/SDB's to be considered for future subcontracting opportunities
- Trade associations for SB, SDB, WOSB, VOSB, SDVOSB and HUBZone SB entities
- National Contract Management Association (NCMA)
- Institute for Supply Management (ISM)

4. Indirect Costs

Indirect costs have been ___ have not been X included in the Dollar and percentage subcontracting goals stated above.

5. Program Administrator

Name: Elizabeth S. Wooten

Title: SRR Small Business Program Manager

Address: Savannah River Site, Building 707-10B Room 8, Aiken, SC 29808

Telephone: (803) 952-7305

Fax: (803) 952-5624

E-mail: Elizabeth.Wooten@srs.gov

Duties:

The SRR Small Business Program Manager has overall responsibility for program success. The duties include, but are not limited to, the following activities:

- A. Developing and promoting company-wide policy initiatives that demonstrate the company's support for awarding contracts and subcontracts to small business concerns, small disadvantaged business concerns, women-owned small business

concerns, veteran-owned small businesses, service-disabled veteran-owned small businesses, historically black colleges and universities or minority institutions, and HUBZone small business concerns.

- B. Assuring the integrity of supplier information in the Central Contractor Registration (CCR) through a series of controls that include a review of Certifications and Representations of new suppliers. Ensuring that supplier NAICS codes and socioeconomic classifications are included in supplier descriptions.
- C. Periodically monitoring the procurement staff and routinely providing new small business and socioeconomic business sources; including developing a small business supplier list for all credit card holders and periodically adding new sources to the list.
- D. Randomly reviewing procurements to ensure they permit the maximum possible participation of small businesses, small disadvantaged businesses, women-owned small businesses, veteran-owned small businesses, service-disabled veteran-owned small businesses, historically black colleges and universities or minority institutions, and HUBZone small businesses.
- E. Randomly reviewing solicitations to remove statements clauses, etc., that restrict or prohibit maximum participation by small business concerns.
- F. Randomly reviewing evaluation documentation when proposals/bids from small businesses are not selected for award.
- G. Utilizing various sources for identifying small businesses.
- H. Overseeing the establishment and maintenance of contract and subcontract award records.
- I. Monitoring the compliance of subcontractors responsible for subcontracting plan requirements under "flow down" provisions.
- J. Preparing, inputting, and submitting timely subcontracting reporting through the electronic Subcontracting Reporting System (eSRS).
- K. Attending or arranging for the attendance of company representatives at small business workshops, seminars, procurement fairs, trade fairs, and conferences.
- L. Ensuring that small business concerns are made aware of subcontracting opportunities, and ensure that these concerns are provided training through workshops on how to prepare responsive bids.
- M. Conducting or arranging for training of purchasing personnel regarding implementation of the small business subcontracting program.
- N. Ensuring that small businesses are made aware of the Credit Card Program and how to participate in it.

- O. Conducting or arranging training for credit card holders in order to provide subcontracting opportunities to small businesses through credit card purchases.
- P. Coordinating company activities during the conduct of compliance reviews by Federal agencies.
- Q. Initiating actions for the company to participate in DOE's Mentor-Protégé Program.

6. Equitable Opportunity

SRR is committed to offering fair and equitable opportunity for SB, SDB, WOSB, VOSB, SDVOSB, HCBU or minority institutions, and HUBZone SB to compete to supply the products and services needed to accomplish SRS LW contract scope. Efforts we undertake to ensure that small business entities have an equitable opportunity for securing subcontracts include, but are not limited to, the following activities:

- A. Outreach efforts to obtain sources:
 - 1. Contacting small, small disadvantaged, women-owned, veteran-owned small businesses, service-disabled veteran-owned small businesses, historically black colleges and universities or minority institutions, and HUBZone associations. Buyers are encouraged to use directories and databases of federal, state, local, and private organizations to reach small businesses;
 - 2. Contacting federal, state, local, and private small business development organizations;
 - 3. Attending small and minority business procurement conferences and trade fairs;
 - 4. Requesting sources from the Small Business Administration's Procurement Marketing and Access Network (PRO-Net), Central Contractor Registration (CCR);
 - 5. Utilizing newspapers and magazine ads to encourage new sources;
 - 6. Participating in efforts or activities to expand the socioeconomic database for this contract;
 - 7. Utilizing book references, catalogs, source lists, or other reference material to identify SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB sources before the acquisitions are placed by the buying activities;
 - 8. Sponsoring, or in conjunction with local small business organizations co-sponsoring, a small business conference every two years;
 - 9. Responding either verbally or in writing to each request received from firms that desire an opportunity to compete for business;
 - 10. Maintaining a database of potential SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB sources within the procurement supplier database;

11. Posting written solicitations on SRR's website to maximize exposure to SB entities;
12. Requiring each purchasing manager and buyer to participate in at least one small business event each year; and
13. Contributing resources and actively participating in local small business and minority organizations.

B. Internal efforts to guide and encourage purchasing personnel:

1. Presenting workshops, seminars, and training programs on requirements of this plan and the use of CCR;
2. Establishing, maintaining, and using SB, VOSB, SDVOSB, HUBZone SB, SDB, and WOSB source lists, guides, and other data for soliciting subcontracts;
3. Monitoring activities to evaluate compliance with the subcontracting plan;
4. Establishing procurement policies and procedures that direct the incorporation of applicable SB subcontracting clauses and requirements;
5. Communicating lessons learned to SB suppliers and subcontractors to develop capabilities and quality of services;
6. Implementing an ongoing in-reach program that provides small businesses access and exposure to key project planners and managers; and
7. Conducting internal workshops, seminars, and training programs to ensure that internal customers and acquisition personnel are familiar with the SB policies and prime contract requirements.
8. Maintain a list of trip reports and other documentation on the outreach activity attended, including new sources along with recommendations to buyers to include these sources in the next appropriate solicitation. Follow-up with buyers regarding the use of the new sources.

C. Outreach Events

1. Attending annually the DOE Small Business Conference; and
2. Obtain a list of outreach activities to attend from the DOE Small Business Program Manager.

D. Additional efforts to be undertaken:

1. Develop a small business web page;

2. Acquisition planning to include small business subcontracting opportunities;
3. Partnering/teaming arrangements with small business subcontractors; and
4. Develop an internal Small Business Policy, including a policy statement from the Project Manager, Jim French.

E. Utilization of External Small Business Advocates to Conduct the Small Business Subcontracting Program

1. Work with the SBA Procurement Center Representative;
2. Work with Small Business Develop Centers and Minority Business Development Centers;
3. Work with Minority Supplier Development Councils; and
4. Work with other small business organizations.

7. Flow-Down Clause

SRR agrees to include the provisions under FAR 52.219-8, "Utilization of Small Business Concerns," in all subcontracts that offer further subcontracting opportunities. All subcontractors, except small business concerns, that receive subcontracts in excess of \$500,000 (\$1,000,000 for construction) must adopt and comply with a plan similar to the plan required by FAR 52.219-9 "Small Business Subcontracting Plan" (FAR 19.704(a)).

Such plans shall be reviewed by the SRR SB Program Manager by comparing them with the provisions of FAR 52.219-9 and assuring that all minimum requirements of an acceptable subcontracting plan have been satisfied. The acceptability of percentage goals shall be determined on a case-by-case basis depending on the supplies and services involved, the availability of potential SB, SDB, WOSB, VOSB, SDVOSB, HBCU, and HUBZone SB, and prior experience. Once approved and implemented, plans will be monitored through the submission of periodic reports and/or as time and availability of funds allow, periodic visits to subcontractors' facilities to review applicable records and subcontracting program progress.

8. Reporting and Cooperation

SRR gives full assurance of (1) cooperation in any studies or surveys that may be required by the contracting agency, or the U.S. Small Business Administration (SBA); (2) submission of periodic reports, which show compliance with the subcontracting plan; (3) timely submission of small business subcontracting achievement data, formerly reported on the Standard Form (SF) 294 and/or 295, to the Government's Electronic Subcontract Reporting Systems (eSRS); and (4) ensuring that large business subcontractors with subcontracting plans agree to input to the eSRS.

9. Recordkeeping

To demonstrate compliance with the requirements and goals in this subcontracting plan, SRR

will maintain the following records:

- A. A list of the sources, guides and other data used to identify suppliers and Vendors.
- B. Organizations contacted in an attempt to locate all categories of small business sources.
- C. Records to support other outreach efforts, e.g., contacts with small business trade associations, and attendance at conference, trade fairs, etc.
- D. Records to support internal guidance and encouragement, provided to buyers through: (1) workshops, seminars, training programs, incentive and other awards; and (2) monitoring of activities to evaluate compliance.
- E. On a contract-by-contract basis, records to support subcontract award data including the name, address and business size of each subcontractor. This information shall include records of (1) whether small businesses were solicited and, if not, why and (2) if small businesses were solicited and award was not made to a small business, document why an award was not made to a small business. (This item is not required for company or division-wide commercial product plans.)
- F. The Program Administrator will maintain a list of eligible small business concerns recommended to buyers from CCR or other sources.
- G. All new vendors regardless of their classification will require "Representations and Certifications (Reps and Certs)." These reps and certs will be maintained in the files.

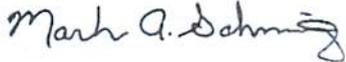
10. Mentor Protégé Program

SRR plans to establish Mentor-Protégé agreement(s) in accordance with Section H.30 of the Request for Proposal. We will foster long-term business relationships between new, developing, small businesses and ourselves, DOE, and other DOE facilities and increase the number of small businesses that can receive DOE contract and subcontract awards.

Key components of the SRR Mentor Protégé Program are:

- Establish program performance goals and metrics
- Train SRR personnel on the aspects of the Mentor Protégé Program
- Encourage participation by firms that meet the requirements defined in DEAR 919.7007, Eligibility to be a Protégé
- Select Protégé firms and execute Mentor-Protégé Agreements for review and approval by the DOE Headquarters Office of Small and Disadvantaged Business Utilization
- Provide developmental assistance to Protégé firms such as technical and advisory assistance, quality assurance program support, estimating and safety program review, progress payments based on costs, site specific training opportunities, and networking support
- Submit program reports per DEAR 919.7013

This subcontracting plan was submitted by:

Signature: 

Typed Name: Mark A. Schmitz

Title: Project Manager

Office/Division: Savannah River Remediation LLC

Date Prepared:

Telephone: (803) 208-1248

E-mail: mark.schmitz@srs.gov

Approval:

Signature: 

Typed Name: Charlene Smith

Title: Contracting Officer

Office and Routing Symbol: Savannah River Operations Office